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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/809,928	03/26/2004	Yuki Iseki	7372/80998

Kendrew H. Colton  
 Fitch, Even, Tabin & Flannery  
 Suite 401L  
 1801 K Street, N.W.  
 Washington, DC 20006-1201

CONFIRMATION NO. 6764

## FORMALITIES LETTER



\*OC000000012881644\*

Date Mailed: 06/07/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/09/2004 SZEWDIE1 00000086 061135 10809928

01 FC:1001 770.00 DA  
 02 FC:1051 130.00 DA  
 03 FC:1203 290.00 DA

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$290** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1190** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$290**
  - **\$290** for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

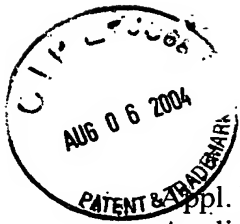
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/809,928  
Applicant : ISEKI et al.  
Filed: : March 26, 2004  
TC/A.U. : To Be Assigned  
Examiner : To Be Assigned

Confirmation No.: 6764

Docket No. : 7372/80998  
Customer No. : 42798 (WDC) and 22242 (CHICAGO)

Commissioner for Patents  
U.S. Patent and Trademark Office  
220 20th Street, South  
Customer Window, Mail Stop Missing Parts  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

August 6, 2004

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Dear Sir:

In response to the Notification of Missing Requirements of Application dated April 30, 2004.

The items checked below are appropriate:

**1. Status of Applicant**

This application is on behalf of ☒ other than a small entity or ☐ a small entity.

The verified statement ☐ is attached or ☐ was filed on .

**2. Documents Submitted Herewith**

- ☒ Executed Declaration of inventor(s).
- ☐ Verified Statement claiming small entity status.
- ☐ Sequence Listing (and accompanying Preliminary Amendment, Verification, and diskette).
- ☐ Verified English translation of application.
- ☒ Copy of the Notice to File Missing Parts of Application.
- ☐ Other:

U.S. Appl. No. 10/809,928  
 Reply to Notification dated June 7, 2004

**3. Application Filing Fee**

APPLICATION FEES				
BASIC FEE				\$770.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	4 - 20 =	0	x \$18.00	\$ 0.00
Independent Claims	2 - 3 =	0	x \$86.00	\$ 0.00
■ Multiple Dependent Claims(s) if applicable			+\$290.00	\$290.00
Total of above calculations =				\$
Reduction by 50% for filing by small entity =				\$( )
TOTAL =				\$1,060.00

**4. Fee for Late Filing of Missing Parts**

Pursuant to 37 C.F.R. § 1.17(e), the surcharge for filing this Response is for ☒ other than a small entity or ☐ a small entity.

**Fee Due: \$130.00**

**5. Fee for Submitting a Non-English Specification**

Pursuant to 37 C.F.R. § 1.17(k), the surcharge for submitting a non-English specification is included.

**Fee Due: \$**

**6. Extension of Time**

- ☐ Applicant petitions for a extension of time under 37 C.F.R. § 1.136, the fee for which is .
- ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**Extension fee due with this request: \$**

**7. Total Fee Due**

The total fee due is:

Surcharge	\$130.00
Translation Fee (if any)	\$
Application Filing Fee (if any)	\$1,060.00
Extension Fee (if any)	\$

**Total Fee Due: \$1,190.00**

U.S. Appl. No. 10/809,928  
Reply to Notification dated June 7, 2004

**8. Fee Payment**

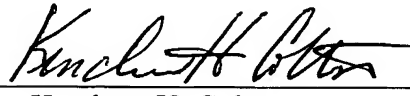
- ☐ Attached is a check in the sum of \$ .
- ☒ Charge Account No. 06-1135 the sum of \$1,190.00, under Order No. 7372/80998. A duplicate of this transmittal is attached.

**9. Fee Deficiency**

- ☒ If any additional fee is required in connection with this communication, charge Account No. 06-1135. A duplicate of this transmittal is attached.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

By:   
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